Yale Capital Corp.
www.yalecapitalcorp.com

Form CRS- Client Relationship Summary
Effective March 23, 2026

Texas Yale Capital Corp d/b/a Yale Capital Corp. ("YCC") is an investment advisor registered with the Securities and Exchange Commission ("SEC"). This document summarizes the types of services we provide, the professionals you will work with, and the fees you will pay. Brokerage and investment advisory services and fees differ, and it is important for you to understand the differences. There are free and simple tools available to you to research both financial professionals and firms at Investor.gov/CRS, which can also provide educational materials about broker-dealers, investment advisors, and investing.

What investment services and advice can you provide me?

YCC provides customized discretionary investment advice, asset management, financial planning, and cash management services to retail investors. A low family-to-associate ratio allows us to provide an approach tailored to your specific needs. As part of our service, your portfolio will be regularly monitored, and changes may be made based on your individual situation. YCC manages exchange-traded securities and bonds. Assets are supervised on a discretionary basis (based on our collaborative judgement) or non-discretionary basis (based solely on your judgement) according to your personalized investment advisory agreement. By granting YCC discretionary authority, we purchase and sell securities to make investments for you without your prior approval. If you do not grant us discretionary authority, you make the ultimate decision regarding the purchase or sale of securities. YCC provides services to high-net-worth individuals/families, private investment funds, and U.S. institutions. YCC's minimum account size for a new client relationship is $10,000,000; however, YCC has discretion to waive the account minimum More detailed information about our advisory services can be found on our Form ADV Part 2A Brochure (Items 4 and 7) which is available at https://adviserinfo.sec.gov/firm/summary/126992 or by contacting our compliance department at **(727) 823-0006** or **info@yalecapitalcorp.com.**. You will have access to a team of professionals. More detailed information can be found on our Form ADV Part 2B Brochure, which is available by contacting our compliance department.

Conversation Starters: Given my financial situation, should I choose an investment advisory service? Why or why not? How will you choose investments to recommend to me? What is your relevant experience, including your licenses, education, and other qualifications? What do these qualifications mean to me?

What fees will I pay?

YCC charges clients a percentage of assets under management and can also charge an annual performance fee. Our fee ranges from 0 – 1.5% of assets under management, depending on the size of your account and amount of attention involved. In addition, the custodian that holds your assets can charge you a transaction fee when an investment is bought or sold. Some investments that we may buy for you, such as mutual funds or exchange traded funds, charge additional fees that will reduce the value of your investments over time. YCC's fees are charged quarterly in advance and the fees are deducted from your account(s). Because the value of your investment portfolio determines the fees paid to us, we have an incentive to increase the value of your portfolio over time. Please make sure you understand what fees and costs you are paying. You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please speak with your advisor to make sure you understand what fees and costs you are paying. For more information, please see our Form ADV Part 2A Brochure (Item 5) which is available by contacting our compliance department, or at https://adviserinfo.sec.gov/firm/summary/126992.

Conversation Starters: Help me understand how these fees and costs might affect my investments. If I give you $10,000 to invest, how much will go to fees and costs, and how much will be invested for me?

What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?

When we act as your investment advisor**,** we have to act in your best interest and to not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide you. Here are some examples to help you understand what this means. YCC's revenue is solely derived from the advisory fees we collect from your accounts each quarter — increasing the value of your accounts will lead to higher earnings for us.

We encourage your questions about potential conflicts of interest, and welcome discussions that help us ensure our advisor-client relationship is built on clarity and shared understanding. For more information, please see our Form ADV Part 2A Brochure (Items 10-12) which is available by contacting our compliance department, or at https://adviserinfo.sec.gov/firm/summary/126992.

Conversation Starters: How might your conflicts of interest affect me, and how will you address them?

How do your financial professionals make money?

Our financial professionals are paid a fixed minimum salary. On a quarterly basis, they also receive a set percentage of the advisory fees that YCC earns from the accounts each professional manages. Our financial professionals receive no other forms of payment.

Do you or your financial professionals have legal or disciplinary history?

No. Visit Investor.gov/CRS for a free and simple search tool to research us and our financial professionals.

Conversation Starters: As a financial professional, do you have any disciplinary history? For what type of conduct?

You can find additional information about our investment advisory services and obtain an updated copy of this relationship summary by contacting us at (727) 823-0006, info@yalecapitalcorp.com, or visiting www.yalecapitalcorp.com.

Conversation Starters: Who is my primary contact person? Is he or she a representative of an investment advisor or a broker dealer? Who can I talk to if I have concerns about how this person is treating me?